

VIRTUAL WORKING GROUP ON GOVERNANCE AND MECHANISMS TO SUPPORT IMPLEMENTATION

REQUEST FOR ELECTRONIC FEEDBACK

TOPICS: NATIONAL IMPLEMENTATION; SUB-REGIONAL, REGIONAL AND INTERNATIONAL COOPERATION AND COORDINATION; AND ENHANCED SECTORAL AND STAKEHOLDER ENGAGEMENT

Co-facilitators: Karissa Kovner, USA and Teeraporn Wiriwutikorn, Thailand

Mandate: Make proposals that progress work in lead-up to IP4.

DEADLINE FOR COMMENTS: THURSDAY, 15 NOVEMBER 2020

Using the compilation text of recommendations from the outcome of IP3 regarding SAICM (SAICM/IP.4/2), which can be found in the documents section of the website related to this Virtual Working Group at <http://www.saicm.org/Beyond2020/IntersessionalProcess/VirtualWorkingGroups/tabid/8563/language/en-US/Default.aspx>, the co-facilitators request electronic input on the relevant sections, identified paragraphs, proposals, or questions related to the existing text as noted below on the following sections:

- (G) Mechanisms for taking stock of progress;
- (H) Mechanisms for updating the framework

In your electronic input, please identify clearly to which paragraph your comments belong. If you would prefer, you are welcome to insert your answers or views below each item upon which you wish to provide your input.

As agreed by the Bureau, relevant documents for this Virtual Working Group may include:

[SAICM/IP.4/2](#) Compilation of recommendations regarding the Strategic Approach and the sound management of chemicals and waste beyond 2020, for consideration by the fifth session of the International Conference on Chemicals Management

[SAICM/IP.4/INF/4](#) Promoting multi-stakeholder and multi-sectoral participation – Summary of known obstacles and possible incentives

[SAICM/IP.4/INF/5](#) Stakeholder input on contributions to achieve enhanced sectoral and stakeholder engagement for beyond 2020

[SAICM/IP.4/INF/6/Rev.1](#) Stakeholder workshop on strengthening governance for the sound management of chemicals and waste beyond 2020: Summary Document

[SAICM/IP.3/5/Corr.1](#) Other mechanism to support implementation prepared by the co-chairs of the intersessional process – corrigendum

[SAICM/IP.3/INF/4](#) Submission from the German Environment Agency – Enhancing the sound management of chemicals and waste beyond 2020

Submission from the following organizations and stakeholders:

Health and Environment Justice Support (HEJSupport)
Swedish Society for Nature Conservation (SSNC)
Pesticide Action Network (PAN International)
groundWork South Africa
Women Engage for a Common Future (WECF)
Armenian Women for Health and Healthy Environment (AWHHE)
Toxisphera
Commonweal
BUND/Friends of the Earth, Germany

For questions, please contact:

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General comment to the co-chairs. Please harmonize how the stakeholders are spelled throughout the sections. E.g. please spell “secretariat” with a capital “S” in the beginning, and “international conference” with capital letters, i.e. “International Conference”, for consistency with the Stockholm, Rotterdam and Basel Conventions.

[G. Mechanisms for taking stock of progress¹

1. The purpose of taking stock of progress is to:
 - a. assess progress against the **vision, strategic** objectives, targets and milestones in **national implementation plans**;
 - b. promote learning, improvement and scale-up for enhanced implementation;
 - c. support stakeholders to set priorities.
2. The secretariat will:
 - a. Coordinate a process for establishing good baselines for the targets and indicators, **and milestones**;
 - b. **Set up** institutional arrangements for taking stock of progress on the sound management of chemicals and waste, **including will include** a periodic review process ~~facilitated by the secretariat~~;
 - c. **Collect progress reports for national implementation plans, as well as reports on progress by other stakeholder initiatives not included in the national implementation plans, and facilitate the development and dissemination of the compilation progress report, as needed**;
 - d. Report to the international conference on implementation by all stakeholders ~~and progress on~~ **against** **strategic** objectives, targets and milestones;
 - e. ~~Disseminate progress reports, and other information as appropriate.~~
3. **The Multistakeholder Review Committee will:**
 - a. **Consist of representatives from governments and other stakeholders**;
 - b. **Review progress reports and in cooperation with the secretariat, create an outcome document that summarizes implementation progress, comments from countries under review, and outline recommendations**;
 - c. **Every third year evaluate each state for its progress in fulfilling the national implementation plan. States are grouped and reviewed according to reporting cycles, and recommendations are formulated for the next three-year cycle of work.**
 - d. **Every third year evaluate progress on Issues of Concern and recommend changes to the programmes of work if necessary.**
4. The international conference will:
 - a. **Update** the programme of work as necessary in **relation to the progress against the strategic objectives, targets, and milestones evaluated by the Multistakeholder Review Committee.**
5. Stakeholders **shall** make available data and information that allows for a review of progress toward achieving the overall vision and the **strategic** objectives and targets.
6. Data and information from stakeholders should be compiled, analysed and reports , **on Issues of Concern, and across sub-regions or regions, developed by the Multistakeholder Review Committee secretariat, with assistance from the Secretariat a panel or body of experts (to be created if deemed needed), and tabled to the international conference. Reports could take into consideration linkages across relevant agreements and initiatives, for the purpose of complementing chemicals and waste**

¹ Elements that have been inserted in this section have been taken from Document SAICM/IP.3/5/Corr.1 that was prepared by the co-chairs for IP3 but was not discussed in detail. This section was inserted upon consultation with the Bureau.

multilateral treaties and other relevant instruments and [initiatives and avoiding duplication in report writing](#).

7. These reports should also be made available [in all UN languages](#) to stakeholders in a timely fashion, [at least two months prior to a meeting of the international conference](#), to facilitate discussion and allow for adaptation and response to any issues of concern, and for effective review, evaluation or updating of the approach.

8. Government stakeholders should prepare a national implementation report which describes progress on a national implementation plan and work on achieving the overall vision and the [strategic](#) objectives and targets.

9. [Stakeholder focal points of the new instrument should compile information about stakeholder activities not included in the national implementation plans in a report to be sent to the secretariat.](#)

~~10. Discussion of the national report on country implementation of the agreement should include information from UN agencies and stakeholders~~

11. [An overall country outcome report should be prepared by the Multistakeholder Review Committee](#) in cooperation with the secretariat, which summarizes the discussion including responses from the country under review along with recommendations for implementation.

12. These reports should be made publicly available to facilitate discussion, review, evaluation and further implementation of the approach.

13. Each country should be reviewed once every three ~~or four~~ years.

14. Reporting processes must:

- a. Occur regularly and at such a frequency [as](#) to ensure collected data may be analysed and useful reports delivered to facilitate trend identification, evaluation against targets and milestones, and to assess overall programmatic performance (for example, every 3 4 years).
 - (i) Progress against key targets should be measured every [{3}](#) years and [be](#) presented in a report to the international conference as should any report on activities, staffing and budget of the Secretariat.
 - (ii) Progress against targets should be measured every [{3}](#) years and presented in a report to the international conference along with any suggested recommendations to address identified gaps
 - (iii) All strategic objectives ~~should~~ [could](#) be reviewed on a rotational basis so that the entirety of the [new instrument Approach](#) is reviewed within a [{6}](#) year period (10 years?).
- b. Minimize reporting burden through leveraging data and information obtained through complementary processes, for example reporting required for Basel, and Stockholm Conventions, by relevant agreements and/or IOMC organizations.
- c. Be useful and ~~allowing~~ for ad hoc review of activities, particularly for the purpose of amending or updating to better align with global chemicals and waste management trends and advancements or to respond to emerging policy issues.
- d. Be inclusive of all identified sectors and stakeholders, including reporting against voluntarily-established targets, milestones or pledges from civil society organizations (CSOs) and industry (and or other stakeholder reporting processes).

15. The international conference may decide to carry out reviews as needed of the effectiveness of specific areas of action.

16. The overall effectiveness should also be evaluated after sufficient time has elapsed, linked to a timeline for overall renewal or strategic review, possible ~~in conjunction with at the same time as~~ the review of the 2030 Agenda for Sustainable Development.}

H. Mechanism for updating the framework²

1. A process for updating sections or the totality of the Beyond 2020 framework shall be initiated by the international conference, triggered through the adoption of a resolution calling for an updating process. This shall occur when there is a need to keep pace with changes and needs in global chemicals and waste management, ~~and also take particular consideration of the evaluation of the entire new instrument every 10 years, which could be a trigger for more substantial updates.~~

(i) The process for updating must take into consideration reporting, reviewing and evaluation processes and timelines, and must include, as necessary, recommendations of which specific sections of the agreement should or must be retained, amended, eliminated or updated.

~~(ii) The international conference may create a mechanism such as a monitoring body, or intersessional process, or another mechanism as appropriate, for the purpose of developing recommendations and options including timelines for implementation for updating.~~

~~(iii) The mechanism will be empowered through delegated authority by the international conference to direct the Secretariat or any subsidiary body or working group to undertake work in support of its mandate.~~

(iv) Amendments may also be proposed by any ~~stakeholders~~ government and will require formal adoption by the international conference.

(v) The text of any proposed amendment shall be communicated to all international conference stakeholders and focal points by the secretariat allowing for sufficient time for review and consultation.

~~(vi) The budget for the mechanism will be provided for via the operational budget adopted by the international conference.~~

² Elements that have been inserted in this section have been taken from Document SAICM/IP.3/5/Corr.1 that was prepared by the co-chairs for IP3 but was not discussed in detail. This section was inserted upon consultation with the Bureau.